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Attorney's Docket No. 004728.P043

Patent

In re the Application of: Y. Chang et al.

(inventor(s))

Application No.: 09/779,295

Filed: February 7, 2001

For: METHOD OF IMPROVING FIELD EMISSION EFFICIENCY FOR FABRICATING CARBON  
NANOTUBE FIELD EMITTERS

(title)

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

- Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.  
 A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.  
 No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
	Claims Remaining After Amd.	Highest No. Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims	* 9	Minus	**11	0	X9 \$
Indep. Claims	* 1	Minus	***1	0	X42 \$
<b>First Presentation of Multiple Dependent Claim(s)</b>					
				+140 \$	
				Total Add. Fee	\$ 0.00
					Total Add. Fee \$ 0.00

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Box Non-Fee Amendment, Washington, D.C. 20231

On February 28, 2002.

Date of Deposit

Paige A. Johnson

Name of Person Mailing Correspondence

Signature

2-28-02

Date

B

- A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
 Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to 37 C.F.R. § 1.136(a).  
 A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.  
 Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.  
**A duplicate copy of this sheet is enclosed.**  
 The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (**a duplicate copy of this sheet is enclosed**):  
     Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.  
     Any extension or petition fees under 37 C.F.R. § 1.17.

Date: 2-28-02

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